

PHI De-Identification Certification Form

DO NOT COMPLETE THIS FORM IF A HIPAA AUTHORIZATION WILL BE OBTAINED OR A WAIVER OF HIPAA AUTHORIZATION IS REQUESTED

PI Name

Protocol Title

Research which involves the use of “de-identified” Protected Health Information (PHI) is exempt from HIPAA requirements. To be exempt from HIPAA, none of the following subject identifiers can be reviewed or recorded by the research team. Please answer **YES** if the subject identifier will be reviewed or recorded or **NO** if the subject identifier will not be reviewed or recorded.

If you mark YES to any of the following, your project data is not considered de-identified.

Name or initials (of patients, providers, relatives, caregivers, legal representatives)

All geographic subdivisions smaller than a state (street address, city, county, precinct) Note: zip code or equivalents must be removed, but can retain first 3 digits if the geographic unit to which the zip code applies contains more than 20,000 people

Yes No

ANY elements of dates (except year) directly related to an individual (date of birth, admission date, discharge date, date of death, date of sample) and all ages over 89 and all elements of dates (including year indicative of such age, except such ages and elements may be aggregated into a single “age 90 or over” category.

Yes No

Telephone number

Yes No

Fax number

Yes No

Email address

Yes No

Social Security Number (including scrambled SSNs or last-4)

Yes No

Medical Record Number

Yes No

Health Plan Number

Yes No

Account Numbers

Yes No

Certificate or license numbers

Yes No

Vehicle identification/serial numbers including license plate numbers

Yes No

Device identification/serial numbers

Yes No

Universal Resource Locators (URLs)

Yes No

Internet Protocol addresses (IPs)

Yes No

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Biometric Identifiers (including finger and voice prints)	Yes	No
Full face photographs and comparable images	Yes	No
Any other unique identifying number, characteristic or code	Yes	No

I certify the health information received or reviewed by research personnel for the research project referenced above does not include any of the 18 identifiers listed above.

Principal Investigator's signature

Date